

Attorney Docket No. 60097-0027

ITW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: application of:

David Locket, et al.

Serial No.: 09/935,426

Filed on: August 22, 2001

) Confirmation No.: 9478
)
) Examiner: Fletcher, James A.
)
) Group Art Unit No.: 2616
)
)

For: MULTIMEDIA SIGNAL PROCESSING SYSTEM

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form, if needed. Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

In accordance with the provisions of 37 C.F.R. 1.98, the attention of the Patent and Trademark Office is hereby directed to references listed on the attached form PTO-1449. The references were cited during the prosecution of parent application No. 09/126,071. Therefore, a copy of the references is not provided herewith.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):



37 C.F.R. §1.97(b). It is respectfully requested that the cited documents be considered and that the enclosed Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).



37 C.F.R. §1.97(c). If so, then this Information Disclosure Statement includes one of the following:



A statement pursuant to 37 C.F.R. §1.97(e)



1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.



1.97(e)(2) The undersigned hereby states that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.



A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

It is respectfully requested that the cited documents be considered and that the enclosed Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

☐ 37 C.F.R. §1.97(d). If so, then this Information Disclosure Statement includes the following:

☐ A statement pursuant to 37 C.F.R. §1.97(e)

☐ 1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement; OR

☐ 1.97(e)(2) The undersigned hereby states that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

AND

☐ A check for \$180.00 for the fee under 37 C.F.R. §1.17(i) for submission of the Information Disclosure Statement.

It is respectfully requested that the cited documents be considered and that the enclosed Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

☐ 37 C.F.R. §1.97(i). Applicants are submitting references to satisfy Applicants' disclosure obligations in hopes that the references will be considered by the Examiner. Although the submission does not fully meet 37 C.F.R. §1.97, Applicant respectfully requests that the cited documents be considered and that the enclosed Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to Applicant(s). It is understood that if the Examiner does not consider the cited references, the cited documents will be placed in the file pursuant to 37 C.F.R. §1.97(i).

Accordingly, copies of the references as listed on the attached Form PTO 1449 are submitted herewith. No certification or fees are deemed necessary.



The Examiner is hereby notified that the present application is related to the following related application(s):

DISCLOSURE OF RELATED APPLICATIONS

U.S. Application/ Pat. No.	File Date	Atty. Docket. No.
09/827,029	4/5/2001	60097-0026
10/190,256	7/5/2002	60097-0028
10/081,776	2/20/2002	60097-0029
10/418,646	04/18/2003	60097-0225
11/051,347	2/4/2005	60097-0297
90/007,750	10/17/2005	60097-0357

The related application(s) may contain subject matter that is related to the subject matter of the present application. The related application(s) may contain one or more claims that may be substantially similar to one or more claims in the present application, and those claims may have been rejected in the related application(s). Therefore, the Examiner is encouraged to review the file history(ies) of the related application(s) as some of the information contained therein may be material to the examination of the present application.



The Examiner is hereby notified that for the following related application(s) an Office Action has been received as indicated below:

DISCLOSURE OF OFFICE ACTIONS

U.S. Application/ Pat. No.	File Date	Office Action Mailing Date	Atty. Docket. No.
10/081,776	2/20/2002	5/20/05	60097-0029
10/081,776	2/20/2002	11/05/04	60097-0029
10/081,776	2/20/2002	06/29/04	60097-0029
10/081,776	2/20/2002	09/29/03	60097-0029
10/081,776	2/20/2002	04/04/03	60097-0029
10/081,776	2/20/2002	10/23/02	60097-0029
09/827,029	4/5/2001	11/17/03	60097-0026
09/827,029	4/5/2001	06/10/06	60097-0026
09/827,029	4/5/2001	02/09/06	60097-0026
10/081,776	02/20/2002	02/08/06	60097-0029


The related application(s) may contain one or more claims that may be substantially similar to one or more claims in the present application, and those claims may have been rejected in the related application(s). Therefore, the Examiner is encouraged to review the file history(ies) of the related application(s) as some of the information contained therein may be material to the examination of the present application.

Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302.

Respectfully submitted,

HICKMAN PALERMO TRUONG & BECKER LLP

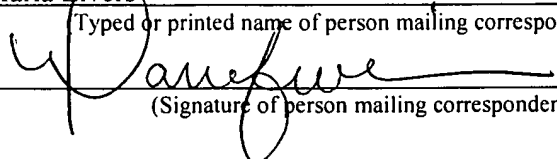
Dated: March 21, 2006


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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on March 21, 2006.
(Date of Deposit)

Maria Eivers
(Typed or printed name of person mailing correspondence)


(Signature of person mailing correspondence)

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(PTO-1449)

Attorney Docket No.:

60097-0027

Application Number:

09/935,426

First Named Inventor:

David Lockett, et al.

Filing Date:

August 22, 2001

Group:

2616

U.S. PATENT DOCUMENTS

Exam. Initial*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (If known)			
		3,682,363		Hull	08-08-1972	**
		3,942,190		Detweiler	03-02-1976	**
		4,141,039		Yamamoto	02-20-1979	**
		4,224,481		Russell	09-23-1980	**
		4,258,418		Heath	03-24-1981	**
		4,313,135		Cooper	01-26-1982	
		4,347,527		Lainez	08-31-1982	**
		4,388,659		Lemke	06-14-1983	**
		4,408,309		Kiesling et al.	10-04-1983	**
		4,423,480		Bauer et al.	12-27-1983	**
		4,439,785		Leonard	03-27-1984	**
		4,506,348		Miller et al.	03-19-1985	**
		4,506,358		Montgomery	03-19-1985	**
		4,602,297		Resse	07-22-1986	
		4,633,331		McGrady et al.	12-30-1986	**
		4,665,431		Cooper	05-12-1987	
		4,688,106		Keller et al.	08-18-1987	
		4,689,022		Peers et al.	08-25-1987	**
		4,706,121		Young	11-10-1987	**
		4,723,181		Hickok	02-02-1988	**
		4,752,834		Koombes	06-21-1988	
		4,755,889		Schwartz	07-05-1988	**
		4,760,442		Oconnell et al.	07-26-1988	
		4,761,684		Clark et al.	08-02-1988	
		4,789,961		Tindall	12-06-1998	**
		4,805,217		Morihiro et al.	02-14-1989	**

** Hard Copies of References submitted with IDS filed on 2/22/2006 for 09/827,029

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION
(PTO-1449)**

Attorney Docket No.:
60097-0027

**Application
Number:**
09/935,426

First Named Inventor:
David Locket, et al.

Filing Date:
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		Number	Kind Code ² (If known)			
		4,816,905		Tweedy et al.	03-28-1989	
		4,821,121		Beaulier	04-11-1989	**
		4,833,710		Hirashima	05-23-1989	
		4,876,670		Nakabayashi et al.	10-24-1989	**
		4,891,715		Levy	01-02-1990	**
		4,897,867		Foster et al.	01-30-1990	
		4,920,533		Dufresne et al.	04-24-1990	
		4,924,387		Jeppesen et al.	05-08-1990	
		4,939,594		Moxon et al.	07-03-1990	**
		4,947,244		Fenwick et al.	08-07-1990	**
		4,949,169		Lumelsky et al.	08-14-1990	**
		4,949,187		Cohen	08-14-1990	**
		4,963,866		Duncan	10-16-1990	**
		4,963,995		Lang	10-16-1990	**
		4,972,396		Rafner	11-20-1990	**
		4,979,050		Westland et al.	12-18-1990	**
		4,991,033		Takeshita	02-05-1991	**
		5,001,568		Efron et al.	03-19-1991	**
		5,014,125		Pocock et al	05-04-1991	
		5,018,186		Kimura et al.	05-21-1991	**
		5,019,900		Clark et al.	05-28-1991	
		5,021,893		Scheffler	06-04-1991	**
		5,027,241		Hatch et al.	06-25-1991	**
		5,027,400		Baji et al.	06-25-1991	
		5,047,857		Duffield et al.	09-10-1991	**
		5,057,932		Lang	10-15-1991	**
		5,063,453		Yoshimura et al.	11-05-1991	**
		5,089,885		Clark et al.	02-18-1992	
		5,093,718		Hoarty et al.	03-03-1992	**
		5,109,281		Koberi et al.	04-28-1992	
		5,118,105		Brim et al.	06-02-1992	

**** Hard Copies of References submitted with IDS filed on 2/22/2006 for 09/827,029**

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)				Attorney Docket No.: 60097-0027		Application Number: 09/935,426	
				First Named Inventor: David Lockett, et al.			
				Filing Date: August 22, 2001			
		5,126,852		Nishino et al.	06-30-1992	**	
		5,126,982		Yifrach	06-30-1992	**	
		5,130,792		Tindell et al.	07-14-1992		
		5,132,992		Yurt et al.	07-21-1992		
		5,134,499		Sata et al.	07-28-1992	**	
		5,142,532		Adams	08-25-1992		
		5,153,726		Billing	10-06-1992		
		5,168,353		Walker et al.	12-01-1992		
		5,172,413		Bradley et al.	12-15-1992		
		5,202,761		Cooper	04-13-1993		
		5,208,665		McCalley et al.	05-04-1993		
		5,214,768		Martin et al.	05-25-1993		
		5,226,141		Esbensen	07-06-1993	**	
		5,233,423		Jernigan et al.	08-03-1993	**	
		5,233,603		Tekeuchi	08-03-1993	**	
		5,237,648		Mills et al.	08-17-1993	**	
		5,241,428		Goldwasser et al.	08-31-1993		
		5,245,430		Nishimura	09-14-1993		
		5,247,347		Litteral et al.	09-21-1993		
		5,251,009		Bruno	10-05-1993		
		5,253,275		Yurt et al.	10-12-1993		
		5,283,659		Akiyama et al.	02-01-1994		
		5,285,272		Bradley et al.	02-08-1994	**	
		5,287,182		Haskall	02-15-1994		
		5,311,423		Clark	05-10-1994	**	
		5,317,603		Osterweil	05-31-1994	**	
		5,317,604		Osterweil	05-31-1994	**	
		5,329,320		Yifrach	07-12-1994		
		5,357,276		Banker et al.	10-18-1994		
		5,361,261		Edem et al.	11-01-1994	**	
		5,371,551		Logan et al.	12-06-1994		
		5,412,416		Nemirofsky	05-02-1995	**	
		5,414,455		Hooper et al.	05-09-1995		
		5,428,731		Powers	06-27-1995	**	
		5,438,423		Lynch et al.	08-01-1995		
		5,440,334		Walters et al.	08-08-1995	**	
		5,442,390		Hooper et al.	08-15-1995		
		5,477,263		O'Callaghan et al.	12-19-1995	**	

** Hard Copies of References submitted with IDS filed on 2/22/2006 for 09/827,029

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INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(PTO-1449)

Attorney Docket No.:
60097-0027

Application
Number:
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First Named Inventor:
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		Number	Kind Code ² (If known)			
		5,481,542		Logston et al.	01-02-1996	**
		5,488,409		Yuen et al.	01-30-1996	
		5,506,615		Awaji	04-09-1996	**
		5,508,940		Rossmere et al.	04-16-1996	**
		5,513,011		Matsumoto et al.	04-30-1996	
		5,513,306		Mills et al.	04-30-1996	**
		5,519,684		Iizuka et al.	05-21-1996	**
		5,528,281		Grady et al.	06-18-1996	**
		5,528,282		Voeten et al.	06-18-1996	**
		5,550,982		Long et al.	08-27-1996	
		5,555,463		Staron	12-10-1996	**
		5,559,999		Maturi et al.	09-24-1996	
		5,572,261		Cooper	11-06-1996	
		5,751,371		Shintani	11-19-1996	**
		5,577,190		Peters	11-19-1996	
		5,581,479		McLaughlin et al.	12-03-1996	**
		5,583,561		Baker et al.	08-10-1996	**
		5,586,264		Belknap et al.	12-17-1996	**
		5,604,544		Bertram	02-18-1997	**
		5,612,749		Bacher et al.	03-18-1997	
		5,614,940		Cobbley et al.	03-25-1997	
		5,615,401		Harscoet et al.	03-25-1997	**
		5,619,247		Russo	04-08-1997	**
		5,625,464		Compoint et al.	04-29-1997	**
		5,629,732		Moskowitz et al.	05-13-1997	**
		5,635,984		Lee	06-03-1997	
		5,659,539		Porter et al.	08-19-1997	
		5,675,388		Cooper	10-07-1997	
		5,696,866		Iggulden et al.	12-09-1997	**
		5,696,868		Kim et al.	12-09-1997	**
		5,701,383		Russo et al.	12-23-1997	
		5,706,388		Isaka	01-06-1998	
		5,715,356		Hirayama et al.	02-03-1998	**

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Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)	Attorney Docket No.: 60097-0027	Application Number: 09/935,426
	First Named Inventor: David Locket, et al.	
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		Number	Kind Code ² (If known)			
		5,719,982		Kawamura et al.	02-17-1998	**
		5,721,815		Ottesen et al.	02-24-1998	**
		5,721,878		Ottensen et al.	02-24-1998	**
		5,724,474		Oguro et al.	03-03-1998	**
		5,751,282		Girard et al.	05-12-1998	**
		5,751,338		Ludwig et al.	05-12-1998	**
		5,751,371		Shintani	05-12-1998	
		5,751,883		Ottensen et al.	05-12-1998	**
		5,754,254		Kobayashi et al.	05-01-1998	**
		5,761,417		Henley et al.	06-02-1998	**
		5,771,334		Yamauchi et al.	12-22-1998	
		5,774,170		Hite et al.	06-30-1998	
		5,774,186		Brodsky et al.	06-30-1998	
		5,778,137		Nielsen et al.	07-07-1998	**
		5,805,763		Lawler et al.	09-08-1998	**
		5,815,689		Shaw et al.	09-29-1998	
		5,822,493		Uehara et al.	10-13-1998	**
		5,852,705		Hanko et al.	12-22-1998	
		5,864,682		Porter et al.	05-21-1997	**
		5,870,553		Shaw et al.	02-09-1999	
		5,889,915		Hewton	03-30-1999	**
		5,892,884		Sugiyama et al.	04-06-1999	**
		5,899,578		Yanagihara et al.	05-04-1999	
		5,920,572		Washington et al	07-06-1999	
		5,920,842		Cooper et al.	07-06-1999	
		5,930,444		Camhi et al.	07-27-1999	**
		5,949,948		Krause et al.	09-07-1999	**
		5,949,954		Young et al.	09-07-1999	
		5,956,716		Kenner et al.	09-21-1999	**
		5,963,202		Polish	10-05-1999	**
		5,973,679		Abbott et al.	10-26-1999	

** Hard Copies of References submitted with IDS filed on 2/22/2006 for 09/827,029

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)	Attorney Docket No.: 60097-0027	Application Number: 09/935,426
	First Named Inventor: David Locket, et al.	
Filing Date: August 22, 2001		

U.S. PATENT DOCUMENTS

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		Number	Kind Code ² (If known)			
		5,991,496		Kojitma	11-23-1999	**
		5,995,709		Tsuge	11-30-1999	
		5,999,691		Takagi et al.	12-07-1999	
		6,002,832		Yoneda	12-14-1999	
		6,005,562		Shiga et al.	12-21-1999	**
		6,005,564		Ahmad et al.	12-21-1999	
		6,018,612		Thomason et al.	01-25-2000	
		6,028,599		Yuen et al.	02-22-2000	
		6,112,226		Weaver et al.	11-30-1999	**
		6,138,147		Weaver et al.	10-24-2000	**
		6,141,385		Yamaji et al.	10-31-2000	**
		6,151,059		Schein et al.	11-21-2000	
		6,154,771		Rangan et al.	11-28-2000	**
		6,163,644		Owashii et al.	12-19-2000	**
		6,167,083		Sporer et al.	12-26-2000	
		6,169,843		Lenihan et al.	01-02-2001	
		6,192,189	B1	Fujinami et al.	02-20-2001	**
		6,198,877	B1	Kawamura et al.	03-06-2001	**
		6,226,447	B1	Sasaki et al.	05-01-2001	
		6,233,389	B1	Barton et al.	05-15-2001	**
		6,249,641	B1	Yokota	06-19-2001	**
		6,253,375	B1	Gordon et al.	06-26-2001	
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Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)				Attorney Docket No.: 60097-0027		Application Number: 09/935,426	
				First Named Inventor: David Locket, et al.			
				Filing Date: August 22, 2001			
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OTHER ART – NO PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation ²
		Inside MacIntosh "QuickTime", Apple Technology Library by Apple Computer, Inc., © 1993 (published by Addison-Wesley Publishing Company) 719 pgs.	**
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		Official Action from CN for foreign patent application no. 02816471.1 dated 21 October 2005 (5 pgs) – attached.	**
		Current Claims in CN patent application no. 02816471.7 (10 pgs) – attached.	**
		International Standard ISO/IEC 11172 (MPEG-1 Standard, Part 1: Systems)	
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